

Cervical Spine Surgery				
MEDICAL POLICY NUMBER	Med_Clin_Ops-006			
CURRENT VERSION EFFECTIVE DATE	March 1, 2023			
	Individual Family Plan: All Plans			
APPLICABLE PRODUCT AND MARKET	Small Group: All Plans			
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PURPOSE

The purpose of this policy is to establish the clinical review criteria that support that the determination of medical necessity for Cervical Spine Surgery.

POLICY/CRITERIA

Clinical Review Criteria

Prior Authorization is NOT required when the spine surgery is emergent in nature.

I. CERVICAL DECOMPRESSION, STABILIZATION OR FUSION

- A. Initial and repeat/revision cervical decompression, stabilization, or fusion prior Authorization is required for treatment of radiculopathy or myelopathy and may be authorized when documentation in the medical record shows that ALL the following are met:
 - 1. A physical examination, including a neurologic examination, has been performed by or reviewed by the operating surgeon.
 - 2. The member is undergoing **ONE or more** of the following procedures:
 - a. Corpectomy/hemicorpectomy.



- b. Discectomy.
- c. Foraminectomy/foraminoplasty.
- d. Foraminotomy.
- e. Laminectomy/laminoplasty.
- f. Laminotomy (i.e., laminoforaminotomy, hemilaminectomy).
- g. Osteophyte removal (i.e., bone spur removal).
- 3. The member has **ONE or more** of the following diagnosis:
 - a. Spondylolisthesis, anterolisthesis, or retrolisthesis.
 - b. Non-acute radiculopathy (e.g., symptomatic cervical stenosis; osteophytes) causing continued pain, motor weakness, paresthesia, and/or compromised neurological function indicative of nerve root compression.
 - c. Post laminectomy syndrome.
 - d. Recurrent disc herniation.
- 4. Surgery is less than two contiguous levels from C2 to C7.
- 5. Skeletal maturity has been reached.
- 6. Documentation of continued episodes of severe, radiating, neurological pain and/or impairment (e.g., extremity weakness or stiffness, lack of arm and/or hand coordination, numbness and/or decreased sensation, back and/or lower extremity involvement).
- 7. Compromised ability to perform routine activities of daily living.
- 8. Radiologic testing documenting **ONE or more** of the following:
 - a. For central myelopathy: radiographic imaging (computed tomography or magnetic resonance imaging) demonstrating myelopathy at a level correlating exactly with clinical presentation.
 - b. For persistent radiculopathy: Radiographic imaging (computed tomography or magnetic resonance imaging) demonstrating impingement at a level correlating exactly with clinical presentation.
- 9. Documentation demonstrating **ONE or more** of the following within one month of prior authorization:
 - a. Completion of a minimum of six (6) weeks of conservative management with documentation of **ALL** of the following
 - Use of ice, rest/activity modification, weight loss, bracing
 - Use of medications [e.g., anti-inflammatories], injections [steroid]
 - Physical therapy
 - Documented progression of pain/disability.
 - Documentation indicating at least moderate disability.
 - Inability to perform routine activities of daily living.



- b. For individuals unable to complete a minimum of six (6) weeks of conservative management, documentation of **ONE or more** of the following:
 - Documentation of at least moderate disability following attempted course of conservative management including inability to perform routine activities of daily living.
 - An explicit statement in the documentation that explains why such conservative therapy is contraindicated. The requirement for conservative therapy will not be met if there is a failure to initiate or complete prescribed conservative therapy for non-clinical reasons.
- 10. BMI documentation of **ONE** of the following
 - a. BMI < 40 at the time of the prior authorization request.
 - BMI > 40 with documentation of surgeon's judgment of severe or progressive bone loss, deformity, or the symptoms progress/worsen in the face of active interventions.
- 11. Documentation in the medical record of tobacco and nicotine status indicating **ONE** the following:
 - a. The individual is a non-tobacco and non-nicotine user.
 - b. The individual has been tobacco-free for a minimum of six (6) weeks prior to the date of the prior authorization request.

II. <u>CERVICAL INTERVERTEBRAL ARTIFICIAL DISC REPLACEMENT (ARTHROPLASTY)</u>

- A. Initial or repeat/revision cervical intervertebral artificial disc replacement (arthroplasty) prior Authorization is required for treatment of radiculopathy or myelopathy and may be authorized when documentation in the medical record indicates **ALL** of the following:
 - 1. A physical examination, including a neurologic examination, has been performed by or reviewed by the operating surgeon.
 - 2. Documented symptomatic degenerative disc disease (DDD) with myelopathy or radiculopathy resulting in unremitting pain.
 - 3. Procedure will be performed using ONE of following
 - a. One-level arthroplasty using an FDA-approved device (e.g., Prestige® Cervical Disc Systems; ProDisc[™], Bryan® Cervical Disc; Secure® C Artificial Disc System; PCM® Cervical Disc System; MOBIC® Cervical Disc Prosthesis).
 - b. Two-level arthroplasty using Mobi-C or Prestige LP. **Two level cervical arthroplasty using any other device is considered investigative and will not be authorized.
 - 4. The member has documentation supporting **ALL** of the following
 - a. Member does NOT have documentation of spinal instability;
 - b. Member does NOT have documentation of moderate to severe facet arthritis;



- c. Member does NOT have documentation of localized or systemic infection;
- d. Member does NOT have documentation of spinal tumor or other active malignancy.
- 5. The member has reached skeletal maturity.
- 6. Documentation demonstrating **ONE or more** of the following within one month of prior authorization:
 - a. Completion of a minimum of six (6) weeks of conservative management with documentation of **ALL** of the following
 - Use of ice, rest/activity modification, weight loss, bracing
 - Use of medications [e.g., anti-inflammatories], injections [steroid]
 - Physical therapy
 - Documented progression of pain/disability.
 - Documentation indicating at least moderate disability.
 - Inability to perform routine activities of daily living.
 - b. For individuals unable to complete a minimum of six (6) weeks of conservative management, documentation of **ONE or more** of the following:
 - Documentation of at least moderate disability following attempted course of conservative management including inability to perform routine activities of daily living.
 - An explicit statement in the documentation that explains why such conservative therapy is contraindicated. The requirement for conservative therapy will not be met if there is a failure to initiate or complete prescribed conservative therapy for non-clinical reasons.
- 7. BMI documentation of **ONE** of the following
 - a. BMI < 40 at the time of the prior authorization request.
 - BMI > 40 with documentation of surgeon's judgment of severe or progressive bone loss, deformity, or the symptoms progress/worsen in the face of active interventions.
- 8. Documentation in the medical record of tobacco and nicotine status indicating at least **ONE** the following:
 - i.) The individual is a non-tobacco and non-nicotine user.
 - ii.) The individual has been tobacco-free for a minimum of six (6) weeks prior to the date of the prior authorization request.

III. CONTRAINDICATIONS

Cervical spine surgery will not be authorized if there are medical contraindications present. Medical contraindications to surgery include **ALL** of the following:

A. No documentation of congestive Heart Failure and NYHA functional class III or IV



- **B.** No documentation of coronary artery disease as defined by ANY of the following:
 - Myocardial Infarction less than 1 year ago.
 - Stent placed less than 1 year ago.
 - Uncontrolled angina.
- **C.** No documentation of uncontrolled Hypertension (HTN) as defined by ANY of the following:
 - systolic measurement greater than 160.
 - diastolic measurement greater than 100.
- **D.** No documentation of Chronic Obstructive Pulmonary Disease (COPD) patients with mMRC Grade 3 and 4. (mMRC: Modified Research Council dyspnea scale).
- E. No documentation of Acute Cerebrovascular Accident less than 6 months ago.
- F. No documentation of post-acute Cerebrovascular Accident deficits that affect activities of daily living.
- **G.** No documentation of Chronic Kidney Disease stage G4-G5.
- H. No documentation of decompensated Cirrhosis.
- I. No documentation of current alcohol abuse.
- **J.** No documentation of Neurological and musculoskeletal conditions that might preclude recovery (i.e., Parkinson disease).
- K. No documentation of the patient being generally frail..
- L. No documentation of of the patient being non-ambulatory.
- **M.** No documentation of moderate to severe dementia.
- **N.** No documentation of anemia, as defined by Hb less than normal range (female less than 12 g dl⁻¹, male less than 13 g dl⁻¹)
- **O.** No documentation of Malnutrition, as defined by ANY of the following:
 - BMI less than 18.
 - recent unintentional weight loss.
 - serum Albumin below normal range
- P. No documentation of active urinary tract infection;
- **Q.** No documentation of active dental infection;
- **R.** No documentation of systemic infection;
- **S.** No documentation of skeletal immaturity.

IV. EXCLUSIONS

Bright HealthCare considers **ALL** the following procedures to be investigative and they will not be authorized:

A. The Authorization is NOT for Recombinant human bone morphogenic protein-2 (rhBMP-



2)/InFUSE[™] Bone Graft/LT- CAGE[™] for cervical spine indications;

- **B.** The Authorization is NOT for OsteoAmp[™] allogenic morphogenic protein;
- **C.** The Authorization is NOT for Autologous blood-derive biologics (e.g., platelet-rich plasma, autologous conditioned serum, autologous whole blood);
- D. The Authorization is NOT for Stem cell therapy (e.g. AlloStem®, Cellentra[™] VCBM, Osteocel® Plus, Trinity® Evolution[™]);
- E. The Authorization is NOT for Two-level Cervical Disc Replacement (arthroplasty) using a device other than Mobi-C or Prestige LP;
- **F.** The Authorization is NOT for Cervical Disc Replacement (arthroplasty) at more than two levels;
- **G.** The Authorization is NOT for Laser Spine Surgery

BACKGROUND

Cervical degenerative disease (CDD) affects approximately ten percent of all adults who display some degree of neck pain. Less than one percent develop neurologic deficits. In most of cases, individuals recover following conservative treatment, and there remains a lack of consensus regarding use of surgical options and the prognostic factors associated with surgical treatment of CDD.

Symptomatic CDD can result in axial neck pain, extremity pain, and/or neurological dysfunction. Causes of axial neck pain include cervical strain, internal disc disruption syndrome, cervical facet-mediated pain, cervical 'whiplash' syndrome, and myofascial pain.

Cervical radiculopathy is a disorder that involves a combination of pain, numbness, tingling and/or weakness in a nerve distribution that correlates with imaging findings showing mechanical compression of the individual nerve by a soft disc herniation or osteophyte (bone spur). It can involve one or more nerves at a time.

Cervical myelopathy is a disorder that is caused when a soft disc herniation, hypertrophic ligaments or osteophytes cause mechanical pressure on the spinal cord, which results in a continuum of symptoms ranging from subtle incoordination and spasticity to frank quadraparesis or quadraplegia.

Spine surgery is a complex area of medicine, and this document breaks out the clinical indications by surgical type. Operative treatment is indicated only when the natural history of an operatively treatable problem is better than the natural history of the problem without operative treatment. Choice of surgical approach is based on anatomy, the patient's pathology, and the surgeon's experience and preference. All operative interventions must be based on a positive correlation with clinical findings, the natural history of the disease, the clinical course, and diagnostic tests or imaging results.

DEFINITIONS



- 1) **Anterior cervical spine surgery** is the preferred method for cervical spine surgery. It is performed by the surgeon approaching the spine from the front of the body, through the throat area. Anterior approaches are performed for correction of CDD including cervical discectomy, corpectomy, fusion, and bone grafting.
- 2) Artificial cervical intervertebral disc replacement (arthroplasty) is a surgical procedure in which a diseased or damaged intervertebral disc is replaced with an artificial device in individuals with symptomatic degenerative disc disease or herniated disc. They are intended to preserve/restore vertebral alignment, maintain spinal stability and flexibility, and alleviate pain.
- 3) Atlantoaxial instability or subluxation occurs when ligaments that hold the C1 (Atlas) and C2 (Axis) vertebra together become loose, either from traumatic disruption of the ligaments or from degeneration of the joint itself. The degeneration of this joint is most common in patients with rheumatoid arthritis and is relatively uncommon in the normal population. In severe situations, the laxity of the vertebrae may cause spinal cord compression and/or migration of the odontoid resulting in spinal cord or brain stem lesions. This can potentially lead to irreversible neurological damage.
- 4) Authorization A decision by Bright Health that a health care service, treatment plan, prescription drug or durable medical equipment is medically necessary or meets other member contract terms. Sometimes called prior authorization, prior approval or precertification. Bright Health requires preauthorization for certain services before a member receives them, except in an emergency. Authorization is not a promise that Bright Health will cover the cost.
- 5) **Chronic discogenic pain** is severe, recurring or constant pain originating from the intervertebral disc that limits the individual's ability to function. The term is most frequently used when the patient has relatively mild pathology on imaging studies, and does not have significant spondylosis, instability, radicular or myelopathic findings. Surgery is rarely indicated to treat this condition.
- 6) **Corpectomy/hemicorpectomy** is removal of the entire vertebral body and surrounding discs to relieve nerve and/or spinal cord impingement. When the pathology dictates that only a portion of the vertebral body be removed, the procedure is referred to as a hemicorpectomy.
- 7) **Decompression** surgery is a general term that refers to various procedures intended to relieve symptoms caused by pressure, or compression, on the spinal cord and/or nerve roots. Bulging or collapsed disks, thickened joints, loosened ligaments and bony growths can narrow the spinal canal and the spinal nerve openings (foramen), causing irritation.
- 8) Discectomy is the surgical removal of the intervertebral disc. It can refer to removing the entire disc, as in an anterior discectomy and fusion, or simply a herniated portion of the nucleus pulposus (the central portion of the intervertebral disc), which is pressing on a nerve root or the spinal cord. Infrequently (i.e., 5% 10% of discectomies), additional nuclear material may extrude through the same annular defect at any time after the primary discectomy surgery.



- 9) Facet joints are small joints that are located on the back of the spine, one on each side. Each vertebra is connected by facet joints. They provide stability to the spine by interlocking two vertebrae.
- 10) **Laminectomy** is a surgical procedure performed to remove the lamina. It is also referred to as decompression surgery. A laminectomy enlarges the spinal canal and is intended to relieve pressure on the spinal cord and surrounding nerves.
- 11) **Myelopathy** is due to compression of the spinal cord by spinal stenosis (e.g., osteophytes), extruded disk material (i.e. herniated disc), metastatic tumor, or fracture fragments. Myelopathy is more common in older individuals and is generally a slowly progressive condition. Symptoms include hand incoordination, heaviness in the legs, or numbness/tingling in the legs. If the site of compression is applying pressure to nerves, pain at the segment site may be present.
- 12) **Neck Disability Index** (NDI) Score was developed as a modification of the **Oswestry Disability Index** (ODI) for low back pain with the permission of the original author. The NDI is a standard self-administered, low back pain disability questionnaire used by clinicians and researchers to measure a patient's functional disability at a certain point in time.
- 13) **Os odontoideum** (hypoplastic dens) results when the "peg" of the first cervical vertebra has persisted over time, usually secondary to a traumatic event at an early age. The cause of os odontoideum remains uncertain, but there is now emerging consensus regarding its traumatic etiology, which may cause the C1-2 stabilizing ligaments to be underdeveloped and predisposes this section to hypermobility and instability.
- 14) **Post laminectomy syndrome** is characterized by persistent neck pain or neck and arm pain following otherwise successful cervical spine surgery, most often following a laminectomy. Following spine surgery, major pain relief is expected, but rarely is there total pain relief. A fraction of post-surgical pain is normal. However, the term post laminectomy syndrome is reserved for individuals who continue to suffer from most of their pain symptoms following surgery.
- 15) **Pseudoarthrosis** is a term that is used to describe the situation where the spinal segment does not grow together after an attempted surgical fusion.
- 16) Cervical radiculopathy (also called radiculitis) refers to a loss of sensory, motor or reflex function or pain in a specific region within the upper extremity secondary to irritation and/or compression of a spinal nerve root in the neck. Radiculopathy often presents clinically as pain traveling from the neck into the arm, forearm and/or hand. In many cases, this will be accompanied by numbness in the limb.
- 17) **Skeletal (bone) maturity** occurs when bone growth ceases after puberty and refers to demonstration of fusion of skeletal bones. Females reach skeletal maturity at approximately 16 years of age, while males reach skeletal maturity around 18 years of age. Radiographs of either the knee or of the hand and wrist with subsequent mathematical



calculations are often used when exact measurement of skeletal maturity is warranted.

- 18) **Spinal fusion** (also known as spinal arthrodesis) is a procedure that permanently fuses two or more vertebrae. A bone graft (autograft, allograft, or synthetic graft) is placed between the vertebrae or facet joints to stimulate the growth of bone across the joint, with the intent of improving stability and decreasing pain.
- 19) Spinal instability refers to loss of motion segment stiffness which results in excessive motion within a spinal segment that could result in neurological deficit, deformity, or pain. Instability can be categorized as acute (e.g., spine fractures, spinal dislocations) or chronic (i.e., caused by degeneration of the joint through the normal aging process or diseases such as rheumatoid arthritis). Instability can be clinically simulated when force applied to the painful spine segment produces abnormally excessive motion (e.g., flexion, extension, lateral angulation, translation) compared to the same force applied to a non-affected segment. Instability can also be demonstrated on radiographic images by seeing damage to the spine's restraining structures (i.e., facet joint, spinous process, transverse process, disk, ligaments, and/or muscles). Conditions or diseases associated with spinal instability include, but are not limited to, trauma, tumors, infections, connective tissue disorders, congenital disorders, degenerative disorders, and postsurgical events.
- 20) Spinal stenosis is a reduction in the diameter of the spinal canal caused by bone spur formation, disc herniation, hypertrophic (thickened) ligaments, or traumatic displacement of bone or soft tissue. Some individuals are born with small or stenotic spinal canals. Stenosis often results in pressure on the spinal cord and/or nerve root compression leading to significant pain and nerve-related symptoms or paralysis.
- 21) **Spondylolisthesis** can occur when one vertebra slips forward and out of alignment with the vertebra lying below it. It is commonly seen in the fourth over the fifth lumbar vertebra or the fifth over the sacrum. The causes can be congenital, due to stress fractures, facet degeneration, injury, or after decompression surgery. The condition may be asymptomatic, or cause significant pain and nerve-related symptoms. If the slippage occurs backwards, it is referred to as retrolisthesis and lateral slippage is called listhesis.
- 22) **Tobacco/Nicotine** products can result in nicotine addiction and health problems, including a negative effect on bone healing. This includes delayed unions, non-unions and other complications (e.g., decreased blood flow; wound complications). Products containing nicotine include, but are not limited to;
 - Smoked tobacco (e.g., cigarettes, cigars, cigarillos, pipe tobacco).
 - Smokeless tobacco (e.g., chewing tobacco, snuff).
 - Nicotine replacements (e.g., patches, gum, nasal spray, inhalers).

CODING

The codes listed below are for reference purposes. This list does not imply whether the code is covered or not covered. The benefit document should be referenced for coverage determination. This list of applicable codes may not be all-inclusive.

CPT CODE	DESCRIPTION
22548	Arthrodesis, anterior transoral or extraoral technique, clivus-C1-C2 (atlas-axis), with or without
	excision of odontoid process



CPT CODE	DESCRIPTION
22554	Arthrodesis, anterior interbody, including disc space preparation, discectomy, osteophytectomy
22551	and decompression of spinal cord and/or nerve roots; cervical below C2
	Arthrodesis, anterior interbody, including disc space preparation, discectomy, osteophytectomy
22552	and decompression of spinal cord and/or nerve roots; cervical below C2, each additional
	interspace (List separately in addition to code for separate procedure: 22551)
22554	Arthrodesis, anterior interbody technique, including minimal discectomy to prepare interspace
	(other than for decompression); cervical below C2
	Arthrodesis, anterior interbody technique, including minimal discectomy to prepare interspace
22585	(other than for decompression); each additional interspace (List separately in addition to code
	for primary procedure: 22554)
22590	Arthrodesis, posterior technique, craniocervical (occiput-C2)
22595	Arthrodesis, posterior technique, atlas-axis (C1-C2)
22600	Arthrodesis, posterior or posterolateral technique, single interspace; cervical below C2 segment
	Arthrodesis, posterior or posterolateral technique, single interspace; each additional interspace
22614	(List separately in addition to code for primary procedure: 22600)
	Total disc arthroplasty (artificial disc), anterior approach, including discectomy with end plate
22856	preparation (includes osteophytectomy for nerve root or spinal cord decompression and
	microdissection); single interspace, cervical
	Total disc arthroplasty (artificial disc), anterior approach, including discectomy with end plate
22858	preparation (includes osteophytectomy for nerve root or spinal cord decompression and
22000	microdissection); second level, cervical (List separately in addition to code for primary
	procedure: 22556)
22861	Revision including replacement of total disc arthroplasty (artificial disc), anterior approach,
	single interspace; cervical
22864	Removal of total disc arthroplasty (artificial disc), anterior approach, single interspace; cervical
22899	Unlisted procedure, spine
	Laminectomy with exploration and/or decompression of spinal cord and/or cauda equina,
63001	without facetectomy, foraminotomy or discectomy (eg, spinal stenosis), 1 or 2 vertebral
	segments; cervical
	Laminectomy with exploration and/or decompression of spinal cord and/or cauda equina,
63015	without facetectomy, foraminotomy or discectomy (eg, spinal stenosis), more than 2 vertebral
	segments; cervical
	Laminotomy (hemilaminectomy), with decompression of nerve root(s), including partial
63020	facetectomy, foraminotomy and/or excision of herniated intervertebral disc; 1 interspace,
	cervical
	Laminotomy (hemilaminectomy), with decompression of nerve root(s), including partial
63035	facetectomy, foraminotomy and/or excision of herniated intervertebral disc; each additional
	interspace, cervical or lumbar (List separately in addition to code for primary procedure:63020)
000.40	Laminotomy (hemilaminectomy), with decompression of nerve root(s), including partial
63040	facetectomy, foraminotomy and/or excision of herniated intervertebral disc, reexploration, single
	interspace; cervical
63043	Laminotomy (hemilaminectomy), with decompression of nerve root(s), including partial facetectomy, foraminotomy and/or excision of herniated intervertebral disc, reexploration, single
	interspace; each additional cervical interspace (List separately in addition to code for primary
	procedure:63020)
	Laminectomy, facetectomy and foraminotomy (unilateral or bilateral with decompression of
63045	spinal cord, cauda equina and/or nerve root[s], [eg, spinal or lateral recess stenosis]), single
	vertebral segment; cervical
	Laminectomy, facetectomy and foraminotomy (unilateral or bilateral with decompression of
63048	spinal cord, cauda equina and/or nerve root[s], [eg, spinal or lateral recess stenosis]), single
	vertebral segment; each additional vertebral segment, cervical, thoracic, or lumbar (List
	separately in addition to code for primary procedure: 63045)
63050	Laminoplasty, cervical, with decompression of the spinal cord, 2 or more vertebral segments
00000	



CPT CODE	DESCRIPTION			
63051	Laminoplasty, cervical, with decompression of the spinal cord, 2 or more vertebral segments; with reconstruction of the posterior bony elements (including the application of bridging bone graft and non-segmental fixation devices [eg, wire, suture, mini-plates], when performed)			
63075	63075 Discectomy, anterior, with decompression of spinal cord and/or nerve root(s), including osteophytectomy; cervical, single interspace			
63076	Discectomy, anterior, with decompression of spinal cord and/or nerve root(s), including osteophytectomy; cervical, each additional interspace (List separately in addition to code for primary procedure)			
0095T	0095T Removal of total disc arthroplasty (artificial disc), anterior approach, each additional interspace, cervical (List separately in addition to code for primary procedure: 22864)			
0098T	0098T Revision including replacement of total disc arthroplasty (artificial disc), anterior approach, eac additional interspace, cervical (List separately in addition to code for primary procedure: 22864			

HCPCS CODE DESCRIPTION n/a In/a

EVIDENCE BASED REFERENCES

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POLICY HISTORY

This policy has been approved by the approval body listed below or has received other necessary approval pursuant to Bright HealthCare's policies on clinical criteria and policy development.

Approval Body		Utilization Management Committee		
Version History	Approval Date		Effective Date	Action
V1	07-31-2018		08-02-2018	New Policy
V2	12-18-2018		12-18-2018	Updated to reflect new markets
V3	02-01-2020		02-01-2020	Updated to reflect new markets
V4	06-18-2020		06-18-2020	Annual Review, updated template and medical contraindications language
V5	12-20-2020		12-20-2020	Small Group added as applicable product
V6	06-17-2021		06-17-2021	Annual Review
V7	06-16-2022		06-16-2022	Annual Review
V8	10-12-2022		10-12-2022	Codes confirmed, criteria confirmed and reorganized for clarity
V9	10-12-2022		03-01-2023	Adopted by MA UMC